

Hi, my name is Ambrose Bonnaire-Sergeant, and welcome to my talk. Today I will be defending my thesis, which is titled "Typed Clojure in Theory and Practice"



So, what is Clojure? Clojure is a programming language running on the Java Virtual Machine, so it runs wherever Java does. According to recent JVM surveys, Clojure has around 3%-1% market share of JVM users, so it's probably in the top 5 most popular languages on the JVM.



Clojure is designed to be a general purpose programming language, and is used in a wide variety of areas. A survey of around 2500 Clojure programmers earlier this year showed Clojure is mostly used to build Web applications, open source projects, and provide commercial services.



What makes Clojure worth choosing over other languages? The same survey asked this question, and had participants rate their favourite Clojure features from 0 (not important) to 2 (very important). The top-5 features are in three main groups. First, functional programming and immutability emphasise programming with values and first-class functions. Second, it is easy to experiment and prototype in Clojure using the REPL and other features. Third, Clojure can leverage all the JVM ecosystem with host interoperability.



However, Clojure programmers have their frustrations with Clojure. Of the technical complaints, my take is that Clojure programmers need help specifying and verifying their programs. The number 2 complaint was the quality of error messages, with suggestions of creating better language tools perhaps via static typing.



This leads to my work. I create Typed Clojure, an optional type system for Clojure.



There has been a good response to Typed Clojure since I started it in 2012. I have spoken a several major industry conferences, raised money to fund its development, and mentored students through GSoC.

My Research How Typed Clojure works

Here's how TC works.



First, you take and existing Clojure program. This particular one creates a Hello World string.



Then you add type annotations to each top-level function.



This says "say-hello" accepts any value and returns a string.



Finally, you use the provided type checker to verify the Clojure program conforms to the type.



This happens a compile-time, so this is a static analysis. The return type of String is calculated without running the program.



Today I am here to present my thesis on TC, summarized by my thesis statement: "TC is a sound and practical optional type system for Clojure". First, I identified TR as a good starting point for a Clojure type system, and repurposed its ideas and implementation. I present the design of TC, formalize its core and prove it sound. Then I show TC's features correspond to real-world programs by evaluating over 19k LOC in a production installation of TC.

This evaluation revealed several shortcomings. First, users encountered a high annotation burden, which I created a tool and workflow to help users write annotations. Second, type errors in expanded macros were difficult to understand, so I demonstrate how to extend Typed Clojure with custom typing rules for macros. Third, I show how to mix symbolic execution with type checking to type check more programs. *Part I* Design and Evaluation of Typed Clojure

The first part of this talk concerns the initial design of Typed Clojure.



This part was published in ESOP 2016.



Now, an overview of the design and implementation of Typed Clojure.



Let's go back to these top-rated features of Clojure. I'm going to show you some Clojure programs that exhibit these features, explain how they work, and how to check them with TC.



First, simple functions. Here, a function `point` is defined that takes a pair of coordinates and returns a record with two fields, x and y. On the last two lines, you can see how to lookup these fields. In fact, the curly brace syntax introduces a plain map, we are just using it heterogeneously. So to check this in TC, we add a type alias for this ad-hoc record, and annotate the function. This demonstrates support for FP and immutable data structures, since maps are immutable in Clojure.



Next, here's an example of a HOF which combines the coordinates of a point based on a function, first with plus, then with string concatenation. A polymorphic annotation is needed, that accepts a point and a 2-argument function. This demonstrates a hallmark of FP that strongly contributes to Clojure's ease of development.



Next, an important idiom in Clojure is type-based control flow. Here, we choose branches based on the type of "m". In the then branch, we use Java interop to convert strings to ints. A union type in the annotation is all we need to check this — occurrence typing automatically follows the control flow since local bindings are immutable.



Here's the same example, except implemented as an extensible multimethod. By dispatching on the class on an argument using "class" as a first-class function we can install methods for each case. The same annotation is enough to type check this multimethod. Again this shows host interop support in TC, but also first-class functions.



Now, we cover how I formalized and proved Typed Clojure sound.



The formalism is based on occurrence typing. I added the TC features heterogeneous maps and multimethods, and some Java interoperability.



Then I proved type soundness for this fragment of TC, along with the theorem that "well-typed programs don't go wrong". Since I encoded NPE's as "wrong", we get the corollary that TC rules out NPE's. Null is idiomatic and common in Clojure, so this is an important result that distinguishes TC from other systems like Scala and Java.



Next, I present my evaluation of the TC's initial design.



I surveyed over 19k LOC in a production installation of TC at CircleCI, where it was used to type check their CI tool.



I already showed you the good news of what TC does well. Here's the bad news. Users were frustrated in the amount of local annotations needed. Every local function requires an annotation in practice. This meant the anonymous function sugar was essentially unsupported without an ugly inline annotation. This made Clojure feel less flexible and dynamic.



Users felt the annotation burden was too high, since all top-level functions must be annotated. They also needed to reverse engineer libraries they used to derive annotations. This was very disruptive.



And finally there was a lot of confusion around TC's approach to checking macro usages. For example, the error message for (inc nil) refers to its inlining. More complicated macros like the "for" list-comprehension could not infer good enough types, and users had to use "wrapper macros" (if they knew how, the error didn't say).



So how did TC's initial design do overall? Well, it's good a functional programming, immutability, and host interop. But it has some limitations in checking FP idioms like requiring too many annotations, and there are various issues that make Clojure development less enjoyable. I address these issues in three parts. First I help users write annotations. Second I build a system to extend TC with typing rules. Third, I use symbolic execution to check more programs.

Part II Automatic Annotations

Now, we cover automatic annotations for Typed Clojure.



This work is currently in submission, and is the first response to the evaluation.



So the overall goal of this work is to automatically generate top-level annotations so users don't have to write them (in full).



First, we cover the design and implementation of my tool that achieves this.



The tool is based on dynamic analysis, so it observes your running program. It's split into two phases. First the collection phase collects runtime samples, then the inference phase translates samples into an annotation. For example, to annotate this program, it is first instrumented, then tracked, and several passes are used to make compact annotations. Local squashing creates recursive types from directly nested types. Global squashing combines types from different functions.


This tool is the first part of a porting workflow. First, you run the tool to generate types. Then you type check the result in TC and keep fixing type errors until it checks. The idea is that TC is a sound system so this way you get meaningful specifications in the end.



Now the formalism for our annotation tool.



The main driver is this "annotate" function that tracks definitions x's in program e.



For example, we have a definition "f" and a test. Plugging them into annotate gives the desired type environment.

$\lambda_{\rm track}$

Intentionally unsound

Aggressively combines types to create compact aliases and recursive types

Tailored for the workflow

This model is intentionally unsound because it aggressively creates recursive types from unrolled examples. These's not much to prove about it, so let's move on...



... to the evaluation of the porting workflow.

Evaluation

Ported 5 open-source programs (~1500 LOC)

Measured the kinds of manual changes needed

I ported 5 open source programs from Clojure to TC and measured the kinds of manual changes needed.



For example, the annotation for "mult" is actually supposed to accept any number of integers. I had to manually change a type based on a type error (this was because mult was only exercised with 2 arguments). Similarly, the next function takes a map of ints to ints, but actually the map may contain any keys. The fix is to manually "upcast" the type.



Our tool can also generate recursive types. Here's the function we're generating types for. This function creates an AST from Clojure data, and the automatically generated type is recursive and shared amongst several function annotations. However, it's missing cases due to spotty tests, and I manually had to add some cases (but only in one place).

Manual effort

Mostly deleting/upcasting types

Adding missing cases to (generated) recursive types

I found most of the effort was deleting or upcasting generated types, and adding missing cases to recursive types.



Based on this experience, this porting workflow makes porting Clojure programs easier, and addresses a key concern in our evaluation of TC.

Part III Extensible Typing Rules

Next, we look at how I address poor type error messages due to macroexpansion.



This is the second response to my evaluation.



Here's a recap of the problem. If a type error happens in a macro-expansion, it's difficult for the user to tell why it happened. One way to prevent this is to propagate type information so then less type errors happen in the first place.



The way to achieve this is to define custom typing rules for macros.



However, there's a problem. Typed Clojure fully expands code before it type checks. If we view expansion and checking as several passes over the same expression, then by the time the checker finds the expression, is has already been expanded. This is inherited from Typed Racket's design.

Solution

Allow Typed Clojure to interleave macroexpansion and type checking

The way I address this is to allow TC to interleave macroexpansion and type checking.



Now I present the prototype that demonstrates this idea.



Interleaving macroexpansion and type checking, essentially gives the type checker the control over expansion. Once the checker finds an expression it knows how to check, it can fire a rule to check it.



To achieve this, I wrote a new code analyzer that gives the checker the ability to expand expressions as needed.

This was non-trivial

Must also interleave *evaluation*

Maintains correct lexical scope

Interacts with Clojure's type hinting system

This was not easy for many reasons, here are 3. First, Clojure's evaluation model already interleaves macroexpansion and evaluation, and it was not obvious how to integrate TC's checker into that scheme. Second, it was imperative to maintain correct lexical scope while incrementally expanding code, which does not come for free in Clojure. Third, Clojure already has a "type hinting" system that must also be accounted for.



But, once this analyzer was built, we can build type checkers that interleave expansion and checking. Here's an example, where the type checker asks if an expression is partially expanded and then rules custom rules based on that.



This prototype demonstrates how to improve type error messages involving macros, and check more programs, which should improve the experience of TC users.



Now we discuss adding symbolic execution to TC.



This is the final response to the shortcomings identified in my evaluation.



The goal is to reduce local annotations.



The reason these annotations are needed is because type checking proceeds outside-in. Types for parameters are needed when a function is discovered by the checker.



The intuition behind my solution is to notice that useful type information is available adjacent to these functions. If only we could delay the checking of these functions until those points.



The way this is achieved is by adding a new type rule for checking unannotated functions. The type of these functions is its code, coupled with the type environment it was defined with.

Approach

New type rule for checking (unannotated) functions:



This approach resembles runtime closures, except they are executed symbolically, so we call this a symbolic closure type. They are similar to "abstract closures" in control flow analysis.



What about an application rule? The idea is that all the information to check a symbolic closure is maintained in the symbolic closure itself, and only the argument type is needed. So, we rearrange the various pieces to derive the output type.

Tradeoffs Undecidable in general However, many local functions are only used once and are non-recursive Can rely on top-level annotations to drive the symbolic execution

There are important tradeoffs involved here. First, symbolic closures are undecidable in general. However, they are viable because many local functions in Clojure are small and non-recursive, so they are cheap to symbolically analyze. We can then rely on the (mandatory) top-level annotations to drive the symbolic execution.



Now we cover my prototype that combines type checking and symbolic closures.



The typing rules associated with symbolic closures strongly resemble the big-step reduction rules for runtime closures. The introduction rule for symbolic closures just packages the code with its definition environment. The application rule unpacks the pieces, extends the parameter to be the derived type, and the body is checked to give the type of the entire application.



I also provide a prototype implementation for experimentation. The tc operator takes an expected type and an expression, and return the type of the expression. Integers can synthesize their type. Providing an expected type to a function triggers the usual bidirectional propagation. Omitting a type gives a symbolic closure. Applying a symbolic closure uses symbolic execution to derive the result type.



The prototype is also extended to work with polymorphic types. By inspecting the type of "map", the prototype knows how to feed type information to its function arguments. Similarly, this works in the presence of function composition.



To test the limits of the prototype, I used this GR term, which is a strongly normalizing term that is untypable in System F (and probably Typed Clojure). This result was proven by Giannini and Rocca. However, evaluating it in plain Clojure, it's clear it's "morally" well-typed as an identity function. So, can we check this quirky identity function?



Yes, symbolic closures allow us to treat GR as a black box until enough type information is available to symbolically reduce it. First, x is a given type a. Then symbolic closures are symbolically executed until x pops out at the correct type. This shows how symbolic closures can check even hopelessly difficult-to-check expressions to traditional techniques.



So, based on this experience with symbolic closures, I claim that it is powerful enough to solve many of the type inference problems in TC.





To conclude, my thesis argues that Typed Clojure is a sound and practical optional type system for Clojure. I present the design of TC and prove it sound. I empirically show TC's features correspond to real-world programs. I present a tool to automatically generate annotations and port it to real-world programs. And I show how to extend TC with custom typing rules and symbolic execution to address user-experience shortcomings.



Thanks for your attention.



λ_{TC} Type soundness Proof

| 1. | Extend calculus with Java-style throwable errors |
|----|--|
| 2. | Make explicit assumptions about Java |
| 3. | Add "stuck", "wrong", and "error" rules to semantics |
| 4. | Shown: Well-typed programs reduce to correct values or errors |
| • | By induction on the reduction derivation, then cases on final red. |
| | rule and final (non-subsump.) typing rule |
| 5. | Corollary: Well-typed programs don't "go wrong" |
| 6. | Corollary: Well-typed programs don't throw null-ptr |
| | exceptions |
| | |